## GRAPH THEORY and APPLICATIONS

Factorization
Domination
Indepence
Clique

Factorization

## Factor

- A factor of a graph $G$ is a spanning subgraph of G, not necessarily connected.
- $G$ is the sum of factors $G_{i}$, if:
$\square \mathrm{G}$ is the edge-disjoint union of $\mathrm{G}_{\mathrm{i}}$ 's.
Such a union is called factorization.
- n -factor: A regular factor of degree n .
- If G is the sum of n -factors:
$\square$ The union of $n$-factors is called n-factorization.
$\square \mathrm{G}$ is n -factorable.


## 1 -factor

- When G has a 1-factor, $\mathrm{G}_{1}$,
$\square|\mathrm{V}|$ is even.
$\square$ The edges of $\mathrm{G}_{1}$ are edge disjoint.
- $\mathrm{K}_{2 \mathrm{n}+1}$ cannot have a 1-factor, but $\mathrm{K}_{2 \mathrm{n}}$ can.

Theorem: The complete graph $\mathrm{K}_{2 \mathrm{n}}$ is 1-factorable.
We need to display a partition of the set $E$ of edges of $\mathrm{K}_{2 \mathrm{n}}$ into ( $2 \mathrm{n}-1$ ) 1-factors.
$\square$ Denote the vertices: $v_{1}, v_{2}, \ldots, v_{2 n}$
$\square$ Define for $\mathrm{i}=1,2, \ldots, 2 n-1$
The sets $\mathrm{E}_{\mathrm{i}}=\left\{v_{i} v_{2 n}\right\} \cup\left\{v_{i-j} v_{i+j} \mid j=1,2, n-1\right\}$
$i+1$ and $i-j$ are modulo( $2 \mathrm{n}-1$ ) operations.

## Example



## 1 -factors

- Complete bipartite graphs $\mathrm{K}_{\mathrm{m}, \mathrm{n}}$ have no 1-factor if $\mathrm{n} \neq \mathrm{m}$.

Theorem: Every regular bipartite graph $\mathrm{K}_{\mathrm{n}, \mathrm{n}}$ is 1-factorable.

Theorem: If a 2-connected graph has a 1-factor, then it has at least two different 1-factors.


## 1 -factor $\equiv$ perfect matching

Tutte's Theorem: $G(V, E)$ has a perfect matching (or a 1-factor) if and only if:

$$
\Phi\left(G-V^{\prime}\right) \leq\left|V^{\prime}\right| \text { for all } V^{\prime} \subset V
$$

$\Phi\left(G-V^{\prime}\right)$ : number of components of ( $G-V^{\prime}$ ) containing odd number of vertices.

A graph with no 1-factor


If vertex set $\mathrm{S}=\{1,2\}$ is removed:
4 components with odd number of vertices remain.

## 2-factorization

- If a graph is 2-factorable, then each factor is a union of disjoint cycles.
- If a 2 -factor is connected, it is a spanning cycle.
- A 2-factorable graph must have all vertex degrees even.
- Complete graphs $\mathrm{K}_{2 \mathrm{n}}$ are not 2-factorable.
- $\mathrm{K}_{2 \mathrm{n}-1}$ complete graphs are 2-factorable.


## 2-factors

Theorem: The graph $\mathrm{K}_{2 \mathrm{n}+1}$ is the sum of n spanning cycles.


## 2-factors

Theorem: The complete graph $\mathrm{K}_{2 \mathrm{n}}$ is the sum of a 1 -factor and $\mathrm{n}-1$ spanning cycles.

- If every component of a regular graph G of degree 2 is an even-length cycle, then $G$ is also 1-factorable.
$\square$ It can be represented as the sum of two 1-factors.

Theorem: Every bridgeless cubic graph is the sum of a 1 -factor and a 2 -factor.
$\square$ Example: Petersen graph.

## Arboricity

- Any graph G can be expressed as a sum of spanning forests
$\square$ Let each factor contain only one egde.
Problem: Determine the minimum number of edge-disjoint spanning forests into which $G$ can be decomposed.
- This number is arboricity of $G, A(G)$.
- Example: $\mathrm{A}\left(\mathrm{K}_{4}\right)=2, \mathrm{~A}\left(\mathrm{~K}_{5}\right)=3$


## Example



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## Arboricity

- A formula by Nash-Williams gives the arboricity of any graph.

Theorem: Let G be a non-trivial graph, and let:
$\square e_{n}$ be the maximum number of edges, in any subgraph of $G$ having $n$ vertices.
Then,

$$
A(G)=\max _{n}\left\lceil\frac{e_{n}}{n-1}\right\rceil
$$

Example: Fig. 9.8

## Arboricity of complete graphs

## Corollary:

$\square$ The arboricity of the complete graph $\mathrm{K}_{\mathrm{n}}$ :

$$
A\left(K_{n}\right)=\left\lceil\frac{e}{2}\right\rceil
$$

$\square$ The arboricity of the complete bipartite graph $\mathrm{K}_{\mathrm{m}, \mathrm{n}}$ :

$$
A\left(K_{m, n}\right)=\left\lceil\frac{m \cdot n}{m+n-1}\right\rceil
$$

- The proof of Nash-Williams' formula does not gives a specific decomposition method.
- Beineke accopmlished the decomposition for complete graphs.


## Decomposition of $\mathrm{K}_{\mathrm{n}}$

- For $n=2 m, \mathrm{~K}_{\mathrm{n}}$ can be decomposed into $m$ spanning paths.
$\square$ Label the vertices: $v_{1}, v_{2}, \ldots, v_{2 m}$
$\square$ Consider the $n$ paths:

$$
P_{i}=v_{i} v_{i-1} v_{i+1} v_{i-2} v_{i+2} \ldots v_{i+n-1} v_{i-n}
$$

- For $n=2 m+1$, the arboricity of $K_{n}$ is $n+1$.
$\square$ Take the same paths described.
$\square$ Add an extra vertex labeled $v_{2 n+1}$ to each.
$\square$ Construct a star, by joining $v_{2 n+1}$ to other $2 n$ vertices.
Example: Fig.9.9


## Dominating Set Independence Set

## Domination-Independence

- Any vertex adjacent to a vertex v , is dominated by v .
- Any other vertex is independent of $v$.
- Independent Set: A subset of vertices of a graph where no two vertices are adjacent.
$\square$ Maximal independent set: Any vertex not in the set is dominated by at least one vertex in it.
- Independence number: $I(G)$, Cardinality of the largest independent set.


## Domination-Independence

- Dominating Set: A subset of vertices of a graph where every vertex not in the subset is adjacent to at least one vertex in the subset.
$\square$ Minimal dominating set: Contains no proper subset that is also a dominating set.
- Domination number: $\mathrm{D}(\mathrm{G})$, Cardinality of the smallest dominating set.



## Example

- Board games usually provide illustrations of domination and independence.
- $8 x 8$ chessboard: 64 vertices
$\square$ An edge ( $u, v$ ) implies that similar chess pieces placed at the squares $u$
 and $v$ challenges one another.


## 8 Queens

- Placing 8 queens on a chessboard so that:
$\square$ no queen challenges another.

$$
\equiv
$$

- Finding a maximal independent set for the graph
$\square$ containing the edges (u,v)
$\square u$ and $v$ : vertices corresponding the squares in the same row, column, or diagonal.

- There are 92 maximal independent sets
- $\mathrm{I}(\mathrm{G})=8$


## Another queen problem

- What is the minimum number of queens
$\square$ that can be placed on a standard chessboard
$\square$ such that each square is dominated by at least one queen?

$$
\equiv
$$

- Finding $D(G)$ for the

$D(G)=5$


## A typical problem

Theorem: An independent set is also a dominating set if and only if it is maximal. Thus, $\mathrm{I}(\mathrm{G}) \geq \mathrm{D}(\mathrm{G})$.

Consider the following problem:

- A community wishes to establish the smallest committee to represent a number of minority groups.
$\square$ Any individual may belong to more than one group.
$\square$ Every group has to be represented.


## A problem

- The community = A graph
$\square$ Vertices = individuals
$\square$ Edges connect two individual in the same group
- Solution:
$\square$ An independent set:
No group should be represented more than once.
$\square$ Which is also a dominating set:
Each group must be represented.
- There no efficient algorithms to find $\mathrm{I}(\mathrm{G})$ or to find $D(G)$ for an arbitrary graph $G$.


## Finding Minimal Dominating Sets

- A vertex $v_{i}$ is dominated if:
$\square \mathrm{v}_{\mathrm{i}}$ is in the dominating set, or
$\square$ any of the vertices adjacent to $v_{i}$ is in the dominating set.
- Then, we seek a minimal sum of products for the boolean expression:

$$
A=\prod_{i=1}^{n}\left(v_{i}+v_{i}^{1}+v_{i}^{2}+\ldots+v_{i}^{d\left(v_{i}\right)}\right)
$$

$\square$ treating + as logical or, . as logical and.

## Example

$A=(a+b+d+e)(a+b+c+d)$
$(b+c+d)(a+b+c+d+e)$
$(a+d+e+f)(e+f)$
$=b e+d e+c e+b f+d f+a c f$


- The six terms in the expression represent the minimal dominating sets:

$$
\{b, e\}\{d, e\}\{c, e\}\{b, f\}\{d, f\}\{a, c, f\}
$$

- Five sets have cardinality of 2 .
- $D(G)=2$


## Finding Maximal Independent Sets

- We enumerate the complement sets of maximal independent sets.
- For every edge (u,v), IC must contain u or v or both.
- We must find the smallest sets IC satisfying this condition for each edge.
- We obtain the minimum sum of products:

$$
B=\prod_{(u, v) \in E}(u+v)
$$

- Each term represents a set $I^{C}$, guaranteed to contain at least one endpoint from each edge.


## Example

$B=(a+b)(a+d)(a+e)(b+c)(b+d)(c+d)(d+e)(e+f)$
= abce + abdf + acde +acdf +bde

Maximal independent sets:
$V-\{a, b, c, e\}=\{d, f\}$
$V-\{a, b, d, f\}=\{c, e\}$
$V-\{a, c, d, e\}=\{b, f\}$

$V-\{a, c, d, f\}=\{b, e\}$
$V-\{b, d, e\}=\{a, c, f\} \quad l(G)=3$

## Clique

- Clique: Any subgraph of G, which is isomorphic to the complete graph $\mathrm{K}_{\mathrm{i}}$.
$\square$ We can always partition the vertices of a graph into cliques.
- $\mathrm{C}(\mathrm{G})$ : number of cliques in a partition which has the smallest possible number of cliques.

Theorem: For any graph $\mathrm{G}, \mathrm{I}(\mathrm{G}) \leq \mathrm{C}(\mathrm{G})$.
The presence or absence of large cliques is significant to the values of $D(G)$ and $I(G)$.
$\square$ All of the vertices in a clique are dominated by any one of its vertices.

- Determining whether an arbitrary graph contains a clique greater than a given size is an NP-complete problem.


## Ramsey Numbers

- Given any positive integer $k$ and $I$, there exist a smallest integer $r(k, I)$, such that: every graph on $r(k, l)$ vertices contains:
$\square$ a clique of $k$ vertices, or,
$\square$ an independent set of I vertices
- r(k,l) are called Ramsey numbers.
$\square$ Example: $\mathrm{r}(3,3)=6$
- The determination of Ramsey numbers is a very difficult unsolved problem.
- Lower bounds are obtained by constructing suitable graphs.


## Ramsey numbers

- 5-cycle contains no clique of size 3, nor an independent set of 3 vertices.
- Theorem (Erdös \& Szekeres):


For any two integers $k \geq 2$ and $I \geq 2$

$$
r(k, I) \leq r(k, I-1)+r(k-1, I)
$$

Furthermore if $r(k, I-1)$ and $r(k-1, I)$ are both even, then strict inequality holds.

## Ramsey Numbers known to date

| $r, s$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | $\mathbf{8}$ | 9 | 10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 1 |  |  |  |  |  |  |  |  |  |
| 2 | 1 | 2 |  |  |  |  |  |  |  |  |
| 3 | 1 | 3 | 6 |  |  |  |  |  |  |  |
| 4 | 1 | 4 | 9 | 18 |  |  |  |  |  |  |
| 5 | 1 | 5 | 14 | $25^{1995}$ | $43-49$ |  |  |  |  |  |
| 6 | 1 | 6 | 18 | $35-41$ | $58-87$ | $102-165$ |  |  |  |  |
| 7 | 1 | 7 | 23 | $49-61$ | $80-143$ | $113-298$ | $205-540$ |  |  |  |
| 8 | 1 | 8 | 28 | $56-84$ | $101-216$ | $127-495$ | $216-1031$ | $282-1870$ |  |  |
| 9 | 1 | 9 | 36 | $73-115$ | $125-316$ | $169-780$ | $233-1713$ | $317-3583$ | $565-6588$ |  |
| 10 | 1 | 10 | $40-$ |  |  |  |  |  |  |  |
| 43 | $92-149$ | $143-442$ | $179-1171$ | $289-2826$ | $\leq 6090$ | $580-12677$ | $798-$ |  |  |  |

## Ramsey Graphs

- A (k,l)-Ramsey graph is a graph:
$\square$ on r(k,I) - 1 vertices
$\square$ contains neither a clique of $k$ vertices
$\square$ nor an independent set of I vertices


A (3,4)-Ramsey graph


A (3,5)-Ramsey graph


A (4,4)-Ramsey graph

## Size of a clique in a graph

- Is there a limit to the number of edges that a graph may have, so that:
$\square$ no subgraph is a clique of size $k$ ?
- Túran's theorem provides an upper bound.
- First, we need to revise another theorem by Erdös.
- Degree-majorized: A graph G is degree-majorized by another graph H if:
$\square$ there is a one-to-one correspondence between the vertices of $G$ and H
$\square$ the degree of a vertex of H is greater than or equal to the degree of the corresponding vertex of G .


## Theorem

Theorem (Erdös): If G is a simple graph, and does not contain a clique of size ( $i+1$ ), then, G is degree-majorized by some complete i-partite graph $P$. Also, if $G$ has the same degree sequence as $P$, then, $G$ is isomorphic to $P$.


## Túran's Theorem

- $\mathrm{T}_{j, n}$ : $j$-partite graph with $n$ vertices in which the parts are as equal in size as possible.

Theorem: If G is a simple graph which does not contain $\mathrm{K}_{j+1}$ then,

$$
|E(G)| \leq\left|E\left(T_{j, n}\right)\right|
$$

Also, only if G is isomorphic to $\mathrm{T}_{j, n}$, then,

$$
|E(G)|=\left|E\left(T_{j, n}\right)\right|
$$

